1 2 3 4 5 6 7 8 UNITED STATES DISTRICT COURT 9 NORTHERN DISTRICT OF CALIFORNIA 10 OAKLAND DIVISION 11 PHOENIX TECHNOLOGIES LTD., a Case No. 4:09-cv-04697-CW (EDL) Delaware corporation, 12 STIPULATED PROTECTIVE ORDER Plaintiff. 13 VS. Judge: Hon. Claudia Wilken 14 DEVICEVM, INC., a Delaware corporation, Complaint filed: July 17, 2009 and BENEDICT CHONG, an individual. 15 Trial Date: None Set Defendants. 16 **ORDER** 17 For good cause showing, it appears to the satisfaction of the Court that this is a proper case 18 for entry of a protective order pursuant to Rule 26 of the Federal Rules of Civil Procedure, to 19 protect the confidential information of all parties and third party witnesses as may be necessary 20 21 during the litigation of this case. IT IS HEREBY ORDERED: 22 During this action, with respect to any information, testimony, documents, or things 23 obtained by any Party to this action in response to any discovery where such items are asserted to contain or comprise trade secret, confidential, proprietary, or private information, the following 25 26 procedures shall be employed and following restrictions shall govern: **PURPOSES AND LIMITATIONS** 1. 27

Disclosure and discovery activity in this action are likely to involve production of trade

- 1 -

STIPULATED PROTECTIVE ORDER

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CASE NO. 4:09-cv-04697-CW (EDL)

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# secret, confidential, proprietary, or private information for which special protection from public disclosure and from use for any purpose other than prosecuting this litigation would be warranted. Accordingly, it is the purpose of this Protective Order to allow Parties to have reasonable access to information from Parties and third parties responding to subpoenas, while protecting the alleged trade secret, confidential, proprietary, or private information under Rule 26 of the Federal Rules of Civil Procedure without frequent resort to determinations of discoverability by the Court. Plaintiff and Defendants acknowledge that this Order does not confer blanket protections on all disclosures or responses to discovery and that the protection it affords extends only to the limited information or items that are entitled under the applicable legal principles to treatment as confidential. The parties further acknowledge, as set forth in Section 10, below, that this Order creates no entitlement to file confidential information under seal; Civil Local Rule 79-5 sets forth the procedures that must be followed and reflects the standards that will be applied when a party seeks permission from the court to file material under seal.

#### 2. **DEFINITIONS**

- 2.1 <u>Party</u>: means any party to this action, including all of its officers, directors, employees, consultants, retained experts, and outside counsel (and their support staff).
- 2.2 <u>Disclosure or Discovery Material</u>: means all items or information, regardless of the medium or manner generated, stored, or maintained (including, among other things, testimony, transcripts, or tangible things) that are produced or generated in disclosures or responses to discovery in this matter.
- 2.3 "Confidential" Information or Items: means information (regardless of how generated, stored or maintained) or tangible things that qualify for protection under standards developed under F.R.Civ.P. 26(c). This designation includes but is not limited to information which the Designating Party: (a) would not normally reveal to third parties except in confidence or has undertaken with others to maintain in confidence, or (b) believes in good faith is confidential and/or protected by a right to privacy guaranteed by the laws of the United States or California or any other applicable privilege or right related to confidentiality or privacy. This designation does not include information that was publicly known prior to disclosure, or that, after disclosure, becomes publicly

known as a result of publication by one having right to do so.

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"Highly Confidential – Attorneys' Eyes Only" Information or Items: means extremely sensitive "Confidential Information or Items" whose disclosure to another Party or nonparty would create a substantial risk of serious injury that could not be avoided by less restrictive means. Information may also be designated "Highly Confidential – Attorneys' Eyes Only" if the Designating Party believes in good faith that the information is significantly sensitive, including without limitation trade secrets or other confidential research, development, or commercial information; financial, commercial, product planning, and marketing information; business and goodwill valuations and analyses; industry analyses; customer information; or other information protected by any right to privacy guaranteed by the laws of the United States or California, such that disclosure to another Party or non-party would create a significant risk of material injury.

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2.5 Receiving Party: means a Party that receives Disclosure or Discovery Material from a Producing Party.

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2.6 Producing Party: means a Party or non-party that produces Disclosure or Discovery Material in this action.

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2.7 Designating Party: means a Party or non-party that designates information or items that it produces in disclosures or in responses to discovery as "Confidential" or "Highly Confidential — Attorneys' Eyes Only."

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Protected Material: means any Disclosure or Discovery Material that is 2.8 designated as "Confidential" or as "Highly Confidential – Attorneys' Eyes Only."

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2.9 Outside Counsel: means attorneys who are counsel of record and who reside and are located in the United States.

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House Counsel: means attorneys who are employees of a named party in this 2.10 lawsuit.

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2.11 Counsel (without qualifier): means Outside Counsel and House Counsel (as well as their support staffs).

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2.12 Expert: means a person with specialized knowledge or experience in a matter - 3 -

pertinent to the litigation who has been retained by a Party or its counsel to serve as an expert witness or as a consultant in this action, who resides and is located in the United States, and who is not a past or a current employee of a Party or of a competitor of a Party's and who, at the time of retention, is not anticipated to become an employee of a Party or a competitor of a Party. This definition includes a professional jury or trial consultant retained in connection with this litigation.

2.13 <u>Professional Vendors</u>: means persons or entities that are located in the United States and provide litigation support services (e.g., photocopying; videotaping; translating; preparing exhibits or demonstrations; organizing, storing, retrieving data in any form or medium; etc.) and their employees and subcontractors.

#### 3. SCOPE

The protections conferred by this Order cover not only Protected Material (as defined above), but also any information copied or extracted there from, as well as all copies, excerpts, summaries, or compilations thereof, plus testimony, conversations, or presentations by parties or counsel to or in court or in other settings that might reveal Protected Material.

The restrictions as to use or dissemination of Protected Material, set forth in any of the preceding paragraphs, shall not apply as to: (a) any information which at the time of the designation under this Order is available to the general public; (b) any information which after designation under this Order becomes available to the public through no act, or failure to act, attributable to the Receiving Party or its Counsel; and (c) any information which the Receiving Party, its Counsel, or any recipient of Protected Material under this Order can show as a matter of written record was already known to the Receiving Party and/or the public through means other than by a violation of this Order, a confidentiality contract or agreement, and/or any violation of Federal or state law. The party asserting exceptions (a) – (c) and others, to this paragraph shall bear the burden of proof thereof.

#### 4. <u>DURATION</u>

Even after the termination of this litigation, the confidentiality obligations imposed by this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise directs.

#### 5. <u>DESIGNATING PROTECTED MATERIAL</u>

5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party or non-party that designates information or items for protection under this Order must take care to limit any such designation to specific material that qualifies under the appropriate standards. A Designating Party must take care to designate for protection only those parts of material, documents, items, or oral or written communications that qualify – so that other portions of the material, documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this Order.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified, or that have been made for an improper purpose (e.g., to unnecessarily encumber or retard the case development process, or to impose unnecessary expenses and burdens on other parties), expose the Designating Party to sanctions.

If it comes to a Party's or a non-party's attention that information or items that it designated for protection do not qualify for protection at all, or do not qualify for the level of protection initially asserted, that Party or non-party must promptly notify all other parties that it is withdrawing the mistaken designation.

5.2 <u>Manner and Timing of Designations</u>. Except as otherwise provided in this Order (see, e.g., second paragraph of section 5.2(a), below), or as otherwise stipulated or ordered, material that qualifies for protection under this Order must be clearly so designated before the material is disclosed or produced.

Designation in conformity with this Order requires:

(a) for information in documentary form (apart from transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the legend "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" at the top or bottom of each page that contains protected material. If only a portion or portions of the material on a page qualifies for protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins) and must specify, for each portion, the level of protection being asserted (either "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – - 5 -

#### ATTORNEYS' EYES ONLY").

A Party or non-party that makes original documents or materials available for
inspection need not designate them for protection until after the inspecting Party has indicated
which material it would like copied and produced. During the inspection and before the
designation, all of the material made available for inspection shall be deemed "HIGHLY
CONFIDENTIAL – ATTORNEYS' EYES ONLY." After the inspecting Party has identified the
documents it wants copied and produced, the Producing Party must determine which documents, or
portions thereof, qualify for protection under this Order, then, before producing the specified
documents, the Producing Party must affix the appropriate legend ("CONFIDENTIAL" or
'HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY") at the top or bottom of each page
that contains Protected Material at its expense. Said designation must be made by the producing
party within seven calendar days of copying. If only a portion or portions of the material on a page
qualifies for protection, the Producing Party also must clearly identify the protected portion(s) (e.g.,
by making appropriate markings in the margins) and must specify, for each portion, the level of
protection being asserted (either "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL -
ATTORNEYS' EYES ONLY").

(b) for testimony given in deposition or in other pretrial or trial proceedings, that the Party or non-party offering or sponsoring the testimony identify on the record, before the close of the deposition, hearing, or other proceeding, all protected testimony, and further specify any portions of the testimony that qualify as "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY." When it is impractical to identify separately each portion of testimony that is entitled to protection, and when it appears that substantial portions of the testimony may qualify for protection, the Party or non-party that sponsors, offers, or gives the testimony may invoke on the record (before the deposition or proceeding is concluded) a right to have up to fifteen (15) court days from the date of the deposition to identify the specific portions of the testimony as to which protection is sought and to specify the level of protection being asserted ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY"). Only those portions of the testimony that are appropriately designated for protection within fifteen (15) court days shall be covered by the

provisions of this Protective Order.

Transcript pages containing Protected Material must be separately bound by the court reporter, who must affix to the top of each such page the legend "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," as instructed by the Party or nonparty offering or sponsoring the witness or presenting the testimony.

A Designating Party shall have the right to exclude from attendance during any part of a deposition any person other than those persons identified in Paragraph 7.2 and/or 7.3 upon an objection that the Protected Information and/or Materials subject to testimony is "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," if it is reasonably expected that the testimony during that part of the deposition will disclose such information and/or materials.

Notwithstanding anything to the contrary in this Order, any deponent may review the transcript of his own deposition in compliance with the Federal Rules of Civil Procedure.

- (c) <u>for information produced in some form other than documentary</u>, and for any other tangible items, that the Producing Party affix in a prominent place on the exterior of the container or containers in which the information or item is stored the legend "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY." If only portions of the information or item warrant protection, the Producing Party, to the extent practicable, shall identify the protected portions, specifying whether they qualify as "Confidential" or as "Highly Confidential Attorneys' Eyes Only."
- 5.3 <u>Inadvertent Failures to Designate</u>. If timely corrected, an inadvertent failure to designate qualified information or items as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY" does not, standing alone, waive the Designating Party's right to secure protection under this Order for such material. If material is appropriately designated after the material was initially produced, the Receiving Party, on timely notification of the designation, must make reasonable efforts to assure that the material is treated in accordance with the provisions of this Order, and must use its best efforts to retrieve any Protected Material from any person not eligible to receive Protected Material under this Order.

If a Receiving Party receives materials that have not been designated "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY", but which the Receiving Party has reason to believe are "HIGHLY CONFIDENTIAL -- ATTORNEYS' EYES ONLY", the Receiving Party shall notify the Producing Party of its receipt of such materials and inquire as to its proper designation under this Order. If any person required to produce documents inadvertently produces any Protected Material without marking it with an appropriate legend, the Producing Party may give written notice to the Receiving Party, including appropriately stamped copies of the Protected Material, that the document, thing, or response is deemed Protected Material and should be treated as such in accordance with the provisions of this Order.

# 6. <u>DESIGNATION NOT DETERMINATIVE OF STATUS - RIGHT TO</u> <u>CHALLENGE</u>

The designation of Discovery Material as "Protected Material" shall not be taken as a determination or admission by any Party that such Discovery Material is in fact protectable as a trade secret or otherwise. The designation of Discovery Material as being "Protected Material" does not alter or enhance the nature of that Discovery Material or its confidentiality or create a non-challengeable presumption of confidentiality.

- 6.1 <u>Timing of Challenges</u>. Unless a prompt challenge to a Designating Party's confidentiality designation is necessary to avoid foreseeable substantial unfairness, unnecessary economic burdens, or a later significant disruption or delay of the litigation, a Party does not waive its right to challenge a confidentiality designation by electing not to mount a challenge promptly after the original designation is disclosed.
- 6.2 <u>Notice of Objection</u>. A Party that elects to initiate a challenge to a Designating Party's confidentiality designation must do so in good faith and must begin the process by serving a written notice of objection, which shall identify the specific Protected Materials as to which the designation is challenged and stating a basis for each challenge ("Notice of Objection"). Service of a Notice of Objection shall be made by facsimile and/or by email to Outside Counsel of the Designating Party.
  - 6.3 <u>Meet and Confer</u>. Within five (5) court days after service of a Notice of -8 -

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Objection, the parties shall meet and confer regarding the challenging party's objections by conferring directly (in voice to voice dialogue; other forms of communication are not sufficient). In conferring, the Designating Party must make a good faith effort to explain the basis for its belief that the confidentiality designation was proper.

- 6.4 Response to Objection. If no change in designation is offered during meet and confer or within five (5) court days thereafter, the challenged material shall be deemed dedesignated unless the Designating Party serves by fax and/or email a written Response to the Notice of Objection within that 5-day period setting forth the legal and factual grounds upon which the Designating Party bases its position that the materials should maintain the original designation or for designating the material otherwise.
- Judicial Intervention. A Party that elects to press a challenge to a 6.5 confidentiality designation after considering the justification offered by the Designating Party may file and serve a motion pursuant to Civil Local Rules 7 and 37 (and in compliance with Civil Local Rule 79-5, if applicable) and this Court's Standing Orders that identifies the challenged material and sets forth in detail the basis for the challenge. Each such motion must be accompanied by a competent declaration that affirms that the movant has complied with the meet and confer requirements imposed in the preceding paragraph and that sets forth with specificity the justification for the confidentiality designation that was given by the Designating Party in the meet and confer dialogue. In the event of a motion to change the designation, the material at issue may be submitted to the Court for in camera inspection. The burden of persuasion in any such challenge proceeding shall be on the Designating Party. Until the Court rules on the challenge, all parties shall continue to afford the material in question the level of protection to which it is entitled under the Producing Party's designation.

#### 7. ACCESS TO AND USE OF PROTECTED MATERIAL

7.1 Basic Principles. A Receiving Party may use Protected Material that is disclosed or produced by another Party or by a non-party in connection with this case only for prosecuting, defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to the categories of persons and under the conditions described in this Order. When

1	the litigation has been terminated, a Receiving Party must comply with the provisions of paragraph							
2	12, below (FINAL DISPOSITION).							
3	All Protected Material and copies thereof must be stored and maintained by a							
4	Receiving Party or Expert at a location in the Continental United States, shall not be accessed via							
5	electronic means in a foreign country and stored in a secure manner that ensures that access is							
6	limited to the persons authorized under this Order.							
7	7.2 <u>Disclosure of "CONFIDENTIAL" Information or Items</u> . Unless otherwise							
8	ordered by the Court or permitted in writing by the Designating Party, a Receiving Party may							
9	disclose any information or item designated CONFIDENTIAL only to:							
10	(a) the Receiving Party's Outside Counsel, as well as employees of said							
11	counsel to whom it is reasonably necessary to disclose the information for this litigation;							
12	(b) the officers, directors, and employees (including House Counsel) of the							
13	Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed							
۱4	the "Agreement to Be Bound by Protective Order" (Exhibit A);							
15	(c) Experts (as defined in this Order) of the Receiving Party, (1) to whom							
16	disclosure is reasonably necessary for this litigation; (2) who have signed the "Agreement to Be							
17	Bound by Protective Order" (Exhibit A); and (3) as to whom the procedures set forth in paragraph							
18	7.5, below, have been followed;							
19	(d) the Court and its personnel;							
20	(e) court reporters, their staffs, and professional vendors to whom disclosure							
21	is reasonably necessary for this litigation and who have signed the "Agreement to Be Bound by							
22	Protective Order" (Exhibit A);							
23	(f) during their depositions and/or trial, witnesses in the action to whom							
24	disclosure is reasonably necessary and who have signed the "Agreement to Be Bound by Protective							
25	Order" (Exhibit A). However, such witnesses shall not retain Protected Materials. Pages of							
26	transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be							
27	separately bound by the court reporter and may not be disclosed to anyone except as permitted							
28	under this Protective Order; and							

1	(g) Persons who appear by express written evidence on the face of Protected
2	Material marked CONFIDENTIAL as an author, addressee, recipient or source thereof.
3	7.3 <u>Disclosure of "HIGHLY CONFIDENTIAL – ATTORNEYS" EYES ONLY"</u>
4	<u>Information or Items.</u> Unless otherwise ordered by the court or permitted in writing by the
5	Designating Party, a Receiving Party may disclose any information or item designated "HIGHLY
6	CONFIDENTIAL – ATTORNEYS' EYES ONLY" only to:
7	(a) the Receiving Party's Outside Counsel, as well as employees of said
8	Counsel to whom it is reasonably necessary to disclose the information for this litigation purposes
9	only, and who have signed the "Agreement to Be Bound by Protective Order" that is attached hereto
10	as Exhibit A;
11	(b) Experts (as defined in this Order): (1) to whom disclosure is reasonably
12	necessary for this litigation, (2) who have signed the "Agreement to Be Bound by Protective Order"
13	(Exhibit A); and (3) as to whom the procedures set forth in paragraph 7.5, below, have been
14	followed, and who reside and are located in the United States;
15	(c) the Court and its personnel;
16	(d) court reporters, their staffs, and professional vendors to whom disclosure
17	is reasonably necessary for this litigation and who have signed the "Agreement to Be Bound by
18	Protective Order" (Exhibit A); and
19	(e) persons who appear on the face of Protected Materials marked "HIGHLY
20	CONFIDENTIAL – ATTORNEYS' EYES ONLY" as an author, addressee, recipient or source
21	thereof, so long as the materials remain in the possession, custody or control of Outside Counsel
22	and/or the party who produced and designated the Protected Material when reviewing them, with no
23	copies or notes being made.
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25	Unless otherwise ordered by the Court or permitted in writing by the Designating Party, the
26	Receiving Party shall not store, maintain, transmit, use or disclose any information, document or
27	item designated "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" outside the
28	Continental United States.
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Items to House Counsel and Officers and Employees of a Receiving Party:

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(a) Unless otherwise ordered by the Court or agreed in writing by the Parties, each Party shall identify the officer(s), employee(s) or House Counsel to whom the Party will be

Procedures for Approving Disclosure of "CONFIDENTIAL" Information or

such House Counsel, officer(s) or employee(s) (including full name, title, professional address, professional affiliations and all other present employment and/or consultancies); and (2) a

disclosing information or items that have been designated "CONFIDENTIAL" by sending written

notice (by fax and email) to Outside Counsel of every other Party that sets forth: (1) the identity of

certification by the Party that disclosure is reasonably necessary for this litigation and that each identified House Counsel, officer or employee has signed the "Agreement to Be Bound by

Protective Order" (Exhibit A) and agrees that they will not use such materials for purposes other

than advising in this litigation. Each Party receiving such notice shall have seven (7) days to object

to the disclosure of any information or item that the Party has designated "CONFIDENTIAL" by sending a written objection (by fax and email) to Outside Counsel of the Party that provided the

notice identifying: (1) the specific House Counsel, officer(s), or employee(s) to whom the objection

is directed; and (2) the basis for the objection The Parties shall then meet and confer by telephone

or written correspondence to resolve the dispute. If no resolution is reached within seven (7) days

of receipt of the objection, any Party to the dispute may seek resolution from the magistrate by

filing a noticed motion pursuant to the Federal Rules of Civil Procedure and the Local Rules of this

Procedures for Approving Disclosure of "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" Information or Items to "Experts"

(a) Unless otherwise ordered by the court or agreed in writing by the Designating Party, a Party that seeks to disclose to an "Expert" (as defined in this Order) any information or item that has been designated "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" first must make a written request to the Designating Party that: (1) sets forth the full name of the Expert and the city and state of his or her primary residence, (2) attaches a copy of the Expert's current resume, (3) identifies the Expert's current employer(s), (4) identifies each person or - 12 -

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entity from whom the Expert has received compensation for work in his or her areas of expertise or to whom the expert has provided professional services at any time during the preceding five years, and (5) identifies (by name and number of the case, filing date, and location of court) any litigation in connection with which the Expert has provided any professional services during the preceding five years. The Parties shall not have any obligation under this Order to identify which Protected Materials are provided to Experts unless required to do so by Court Order, by the Federal Rules of Civil Procedure or the Local Rules of the Court.

(b) A Party that makes a request and provides the information specified in the preceding paragraph may disclose the subject Protected Material to the identified Expert unless, within five (5) calendar days of delivering the request, the Party receives a written objection from the Designating Party. Any such objection must set forth in detail the grounds on which it is based.

(c) A Party that receives a timely written objection must meet and confer with the Designating Party (through direct voice to voice dialogue) to try to resolve the matter by agreement within 3 calendar days. If no agreement is reached, within ten (10) court days following its objection, the Party seeking to make the disclosure to the Expert may file a motion as provided in Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable) seeking permission from the Court to do so. Any such motion must describe the circumstances with specificity, set forth in detail the reasons for which the disclosure to the Expert is reasonably necessary, assess the risk of harm that the disclosure would entail and suggest any additional means that might be used to reduce that risk. In addition, any such motion must be accompanied by a competent declaration in which the movant describes the parties' efforts to resolve the matter by agreement (i.e., the extent and the content of the meet and confer discussions) and sets forth the reasons advanced by the Designating Party for its refusal to approve the disclosure.

In any such proceeding the Party opposing disclosure to the Expert shall bear the burden of proving that the risk of harm that the disclosure would entail (under the safeguards proposed) outweighs the Receiving Party's need to disclose the Protected Material to its Expert. In addition to the foregoing, each Expert to whom any Protected Material will be disclosed shall, prior to disclosure of such material, execute the Certification of Consultant in the form attached as

Exhibit B hereto. Outside Counsel who makes any disclosure of Protected Materials shall retain each original executed Certification of Consultant and, upon written request, shall circulate copies to all Outside Counsel at the termination of this action.

# 8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN ANOTHER LITIGATION

If a Receiving Party is served with a subpoena or an order issued in other litigation that would compel disclosure of any information or items designated in this action as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," the Receiving Party must so notify the Designating Party, in writing (by email or fax, if possible) immediately and in no event more than three (3) court days after receiving the subpoena or order. Such notification must include a copy of the subpoena or court order.

The Receiving Party also must immediately inform in writing the Party who caused the subpoena or order to issue in the other litigation that some or all the material covered by the subpoena or order is the subject of this Protective Order. In addition, the Receiving Party must deliver a copy of this Protective Order promptly to the Party in the other action that caused the subpoena or order to issue.

The purpose of imposing these duties is to alert the interested parties to the existence of this Protective Order and to afford the Designating Party in this case an opportunity to try to protect its confidentiality interests in the court from which the subpoena or order issued. The Designating Party shall bear the burdens and the expenses of seeking protection in that court of its confidential material – and nothing in these provisions should be construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from another court.

### 9. <u>UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL</u>

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Protective Order, the Receiving Party must immediately: (a) notify in writing the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all copies of the Protected Material, (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Order, and

that is attached hereto as Exhibit A.

#### 10. FILING PROTECTED MATERIAL

Without written permission from the Designating Party or a court order secured after appropriate notice to all interested persons, a Party may not file in the public record in this action any Protected Material. A Party that seeks to file under seal any Protected Material must comply with Civil Local Rule 79-5 and this Court's Standing Orders.

(d) request such person or persons to execute the "Acknowledgment and Agreement to Be Bound"

Nothing in this Order shall prevent a party or its attorney from using any Protected Material: (i) at a deposition of the Producing Party; (ii) at a hearing; (iii) to prepare for and conduct discovery in this action; (iv) to support or oppose any motion made in this action; (v) to prepare for trial; (vi) during trial; or (vii) during any appeal. Under these circumstances, the use of Protected Material shall be subject to this Order, the Federal Rules of Civil Procedure, this District's Local Rules, and the Standing Orders of this Court. The parties will cooperate in approaching Court for the purpose of sealing proceedings if necessary to prevent the public disclosure of Protected Materials.

#### 11. <u>DESIGNATED MATERIALS OBTAINED THROUGH A SUBPOENA</u>

Where a Party serves a subpoena that compels disclosure of any information or items that may constitute another Party's "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" materials, upon receipt of any such materials in response to a subpoena, the subpoenaing Party shall temporarily treat such materials as "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY". The subpoenaing Party shall within five (5) court days after receiving any such materials provide copies to the other Party who will then have five (5) court days to designate any such materials in accordance with the terms of the Protective Order or such materials will be deemed to be non-confidential.

#### 12. FINAL DISPOSITION

Unless otherwise ordered or agreed in writing by the Producing Party, within sixty (60) calendar days after the final termination of this action, each Receiving Party must destroy or return all Protected Material to the Producing Party. As used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations, summaries or any other form of reproducing or

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Receiving Party may destroy some or all of the Protected Material instead of returning it. Whether the Protected Material is returned or destroyed, the Receiving Party must submit a written certification to the Producing Party (and, if not the same person or entity, to the Designating Party) by the sixty (60) day deadline that identifies (by category, where appropriate) all the Protected Material that was returned or destroyed and that affirms that the Receiving Party has not retained any copies, abstracts, compilations, summaries or other forms of reproducing or capturing any of the Protected Material.

Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings, motion papers, transcripts, legal memoranda, correspondence or attorney work product, even if such materials contain Protected Material. Any such archival copies that contain or constitute Protected Material remain subject to this Protective Order as set forth in Section 4 (DURATION), above.

Further, any Expert that is provided Protected Material must cooperate with Outside Counsel in the return and/or destruction of all such Protected Material in their possession, custody or control and must ensure that such material is returned and/or destroyed within five (5) court days of the termination of the action or the termination of their consultancy, which ever occurs first. Any Expert as defined by this Order shall certify their compliance with this provision and shall deliver such certification to Outside Counsel for the Party that they have provided services for no more than five (5) court days after complying with their obligations hereunder. Outside Counsel shall promptly deliver a copy of such certification to Outside Counsel for the Designating Party.

#### **13. MISCELLANEOUS**

- 13.1 Right to Further Relief. Nothing in this Order abridges the right of any person to seek its modification by the Court in the future.
- 13.2 Right to Assert Other Objections. Entry of this Order does not result in the waiver of any right a Party otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Protective Order. Similarly, no Party is will be deemed to have waived any right to object on any ground to use in evidence of any of the

- 16 -

material covered by this Order.

13.3 <u>Use Of Protected Materials By Designating Party</u>. Nothing in this Order shall limit any Designating Party's use of its own documents and information, nor shall it prevent the Designating Party from disclosing its own confidential information or documents to any person. Such disclosure shall not affect any designations made pursuant to the terms of this Order, so long as the disclosure of confidential information or documents is made in a manner that is reasonably calculated to maintain the confidentiality of the information.

- 13.4 <u>Client Communication</u>. Nothing in this Order shall prevent or otherwise restrict Counsel from rendering advice to their clients and, in the course of rendering such advice, relying upon the examination of Protected Material. In rendering such advice and otherwise communicating with the client, however, Counsel shall not make specific disclosure of any Protected Materials, except as permitted by this Protective Order.
- Work Product Protection Or Privilege. The production of any documents, information or other materials shall not constitute a waiver of the attorney-client privilege, attorney work product doctrine, or any other legal privilege or immunity if, as soon as reasonably possible after the Producing Party becomes aware of its inadvertent or unintentional disclosure of privileged materials, the Producing Party designates such materials as within the attorney-client privilege, work product immunity or other legal privilege or immunity and requests return of those materials to the Producing Party. Upon request by the Producing Party, the Receiving Party shall immediately return all copies of such inadvertently produced materials and shall destroy all notes or other work product reflecting the contents of such materials, and shall delete such materials from any litigation-support or other database. Nothing herein shall prevent the Receiving Party from retaining one copy of the disputed materials to challenge the proprietary of the attorney-client privilege or work product immunity designation by submitting a written challenge to the Court in compliance with the Federal Rules of Civil Procedure and the Local Rules of this Court.

If a Party receives from the other Party any document or information that the Receiving Party reasonably believes is subject to the attorney-client privilege or attorney work product - 17 -

doctrine, the Receiving Party should promptly notify the Producing Party of the potential inadvertent disclosure. Upon request by the Producing Party, the Receiving Party shall immediately return all copies of such inadvertently produced materials and shall destroy all notes or other work product reflecting the contents of such materials, and shall delete such materials from any litigation-support or other database. Nothing herein shall prevent the Receiving Party from challenging the proprietary of the attorney-client privilege or work product immunity designation by submitting a written challenge to the Court in compliance with the Federal Rules of Civil Procedure and the Local Rules of this Court.

- 13.6 Admissibility. Unless all parties stipulate otherwise, evidence of the existence or nonexistence of a designation under this Order shall not be admissible for any purpose during any proceeding on the merits of this action. Additionally, nothing contained herein shall be construed as a waiver of any objection which might be raised as to the admissibility of any evidentiary material at trial or any other evidentiary proceeding.
  - 13.7 <u>Modification</u>; Addition of Parties to This Protective Order.
- (a) All Parties reserve the right to seek modification of this Protective Order at any time for good cause. The Parties agree to meet and confer prior to seeking to modify this Protective Order for any reason. The restrictions imposed by this Protective Order may only be modified or terminated by written stipulation of all Parties or by order of this Court.
- (b) In the event that a new party is added, substituted, or brought in, this Order will be binding on and inure to the benefit of the new party, subject to the right of the new party to seek relief from or modification of this Order.
- 13.8 Protection of Non-Parties. A non-party producing information or material voluntarily or pursuant to a subpoena or a Court Order may designate material or information with the appropriate designation pursuant to the terms of this Order and such non-party shall be entitled to all rights and protections afforded to a Designating Party as defined in this Order upon executing a written agreement (Exhibit A) to be bound hereto. However, a non-party's use of this Order and/or the execution of such an agreement to be bound to protect its Protected Material does not entitle that non-party access to Protected Material produced by any other Party or non-party.

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1	1 13.9 <u>No Contract</u> . This Order	shall not be construed to create a contract between							
2	the parties or between the parties and their respective counsel								
3	13.10 <u>Federal Rules of Civil Procedure.</u> Nothing herein shall alter or change in any								
4	way the discovery provisions of the Federal Rules of Civil Procedure, the Local Rules of this Court,								
5	5 and this Court's Standing Orders.								
6	6 IT IS SO STIPULATED, THROUGH C	OUNSEL OF RECORD.							
7	7 Dated: January 5, 2010 MINTZ LE	VIN COHN FERRIS GLOVSKY AND POPEO, P.C.							
8		Karineh Khachatourian							
9		INEH KHACHATOURIAN AN J. SINCLAIR							
10	JEFF	REY M. RATINOFF							
11	Attor	neys for Plaintiff and Counter-Defendant, ENIX TECHNOLOGIES LTD.							
12		ENIX TECHNOLOGIES ETD.							
13	12 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	NY & MYERS LLP							
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	By: STFI	Peter T. Snow PHEN J. AKERLEY							
15 16	EKIC	CJ. AMDURSKY ER T. SNOW							
17	Attor	neys for Defendant and Counterclaimant,							
18	DEV	ICEVM, INC. and Defendant BENEDICT CHONG.							
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	STIPULATED PROTECTIVE ORDER	CASE NO. 4:09-cv-04697-CW (EDL)							

1	<u>ATTESTATION</u>							
2	I, Karineh Khachatourian, am the ECF User whose ID and password are being used to file							
3	this Stipulated Protective Order. In compliance with General Order 45. X.B., I hereby attest that							
4	Peter T. Snow has concurred in this filing.							
5	Dated: January 5, 2010 MINTZ LEVIN COHN FERRIS GLOVSKY AND POPEO, P.C							
6	/s/ Karineh Khachatourian							
7	By: KARINEH KHACHATOURIAN BRYAN J. SINCLAIR JEFFREY M. RATINOFF							
8 9	Attorneys for Plaintiff and Counter-Defendant, PHOENIX TECHNOLOGIES LTD.							
10								
11	PURSUANT TO STIPULATION, IT IS SO ORDERED.							
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13	1/8/10 Chideleit							
14	DATED: Honorable Claudia Wilken							
15	United States District Judge							
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**EXHIBIT A** 1 ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND 2 I, \_\_\_\_\_\_, declare under the penalty of perjury that: 3 4 1. I currently reside at \_\_\_\_\_ 5 6 by \_\_\_\_ 7 United S 8 Technol 10 || cv-0469' 11 12 | I underst 13 punishm 14 15 designate 16 ONLY" 17 | Protectiv 18 6 19 || product 20 | access is 21 | transmit, 22 Continer 23 24 | jurisdicti 25 the purp 26 occur aft 27 28 U"CONFI

	2.	I am employed as
by		
	3.	I have read in its entirety and understand the Protective Order that was issued by the
Unite	d States	District Court for the Northern District of California in the case of Phoenix
<u>Techr</u>	nologies	Ltd. v. DeviceVM, Inc., et al.; USDC, Northern District of California Case No. 4:09-
cv-04	697-CW	7.
	4.	I agree to comply with and to be bound by all the terms of this Protective Order, and
I unde	erstand a	and acknowledge that failure to so comply could expose me to sanctions and
punis	hment ir	the nature of contempt.
	5.	I solemnly promise that I will not review, discuss, use or disclose any materials
desig	nated as	"CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES
ONL	Y" in an	y manner to any person or entity except in strict compliance with the provisions of thi
Prote	ctive Or	der and will use such materials only for the purpose of this action.
	6.	I understand and agree that all Protected Material and copies thereof, as well as work
produ	ct gener	ated there from must be stored and maintained in a secure manner that ensures that
acces	s is limit	ted to the persons authorized under this Order and that I will not remove, store,
transr	nit, trans	sfer, disseminate or send such materials to any person or location outside of the
Conti	nental U	Inited States.
	7.	I acknowledge that, by signing this agreement, I am subjecting myself to the
jurisd	iction ar	nd venue of the United States District Court for the Northern District of California for
the pu	irpose o	f enforcing the terms of this Protective Order, even if such enforcement proceedings
occur	after ter	rmination of this action.
	8.	I understand and agree that any disclosure or use of material designated
"CON	NFIDEN	TIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" in any - 21 -
STIPU	JLATED	PROTECTIVE ORDER CASE NO. 4:09-cv-04697-CW (EDL

### Case 4:09-cv-04697-CW Document 60 Filed 01/08/10 Page 22 of 24

1	manner contrary to the provisions of the Protective Order may subject me to sanctions for contempt									
2	of court and other sanctions.									
3	9. I understand and agree that within ten (10) business days after a request by Outside									
4	Counsel for the party for which I have provided services, the termination of my consultancy or the									
5	termination of this action, which ever occurs first, I must return and/or destroy all Designated									
6	Material in my possession, custody or control to Outside Counsel for the party for which I have									
7	provided services. I further understand and agree that I shall certify my compliance with this									
8	requirement and shall deliver such certification to Outside Counsel for the party that I have									
9	provided services for no more than ten (10) business days after complying with the aforementioned									
10	obligation.									
11	I declare under the penalty of perjury of the laws of the United States of America that the									
12	foregoing is true and correct.									
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14	Executed this day of, 2009 in, U.S.A.:									
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16	By:									
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## EXHIBIT B

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I, the undersigned, hereby certify that I have read the Protective Order entered in the Northern District of California in the case of Phoenix Technologies Ltd. v. DeviceVM, Inc., et al.; USDC, Northern District of California Case No. 4:09-cv-04697-CW, and that I have executed a copy of Exhibit A - the Acknowledgement And Agreement To Be Bound.

**CERTIFICATION OF EXPERT/CONSULTANT** 

I further certify that I am not employed nor currently engaged by a direct competitor of the Designating Party (as of the time of the execution of this Certification) to this action, nor do I have a current expectation of such engagement, and I will accept no such engagement nor discuss same during the pendency of this action. If at any time after I execute this Consultant Certification and during the pendency of the Action I become engaged in business as a competitor of any person or entity currently a party to this action, I will promptly inform the counsel for the party who retained me in the Action, and I will not thereafter review any Protected Materials unless and until the Court in the Action orders otherwise.

I further understand and agree that all Protected Material and work product generated there from must be stored and maintained at a location in the Continental United States and in a secure manner that ensures that access is limited to the persons authorized under this Order.

Finally, I understand and agree that within ten (10) business days after a request by Outside Counsel for the party for which I have provided services, the termination of my consultancy or the termination of this action, which ever occurs first, I must return and/or destroy all Designated Material in my possession, custody or control to Outside Counsel for the party for which I have provided services. I further understand and agree that I shall certify my compliance with this requirement and shall deliver such certification to Outside Counsel for the party that I have provided services for no more than ten (10) business days after complying with the aforementioned obligation.

	I declare	under ]	penalty	of perjury	under	the	laws	of the	United	States o	of A	merica	that the
foregoi	ng is true	and co	orrect.										

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